

ARIZONA WESTERN COLLEGE
SYLLABUS

FIN 200 STOCK MARKET BASICS 2

Credit Hours: 3 Lec 3

PREREQUISITE: FIN 100

COURSE DESCRIPTION

This course provides a foundation for the novice investor in the understanding of mutual fund concepts and terminology, portfolio composition of mutual funds, portfolio risk and return, mutual funds trading, short-term money market instruments, exchange traded funds, expense ratios, taxation of mutual funds in qualified and unqualified plans, mutual fund research, and financial planning with mutual funds.

1. COURSE GOALS

- 1.1 explain mutual fund concepts and terminology,
- 1.2 examine mutual fund portfolio compositions.
- 1.3 evaluate mutual fund portfolio risk and return.
- 1.4 explain short-term money market instruments.
- 1.5 evaluate mutual fund taxation in qualified and unqualified plans.
- 1.6 discuss exchange traded funds.
- 1.7 describe in mutual fund research.
- 1.8 evaluate financial planning using mutual funds as an investment vehicle.

2. OUTCOMES

Upon satisfactory completion of this course, students will be able to:

- 2.1 define and describe mutual fund concepts and terminology.
- 2.2 synthesize mutual fund portfolio compositions.
- 2.3 evaluate mutual fund portfolio risk, return, and expenses.
- 2.4 explain short-term money market instruments.
- 2.5 define and describe the exchange of traded funds.
- 2.6 comprehend the taxation of mutual funds in qualified and unqualified plans.
- 2.7 conduct mutual fund research.
- 2.8 describe mutual funds in financial planning.

3. METHODS OF INSTRUCTION

- 3.1 Research mutual fund data through online sources
- 3.2 Utilize brokerage account tools, research, and news

4. LEARNING ACTIVITIES

- 4.1 Assigned reading
- 4.2 Discussion board activities
- 4.3 Simulated portfolio analysis
- 4.4 Research techniques
- 4.5 Simulate buying and selling mutual funds for profit

5. EVALUATION

- 5.1 Written assignments
- 5.2 Exams
- 5.3 Simulated portfolio assessments

6. STUDENT RESPONSIBILITIES

- 6.1 Under AWC Policy, students are expected to attend every session of class in which they are enrolled.
- 6.2 If a student is unable to attend the course or must drop the course for any reason, it will be the responsibility of the student to withdraw from the course. Students who are not attending as of the 45th day of the course may be withdrawn by the instructor. If the student does not withdraw from the course and fails to complete the requirements of the course, the student will receive a failing grade.
- 6.3 Americans with Disabilities Act Accommodations: Arizona Western College provides academic accommodations to students with disabilities through AccessABILITY Resource Services (ARS). ARS provides reasonable and appropriate accommodations to students who have documented disabilities. It is the responsibility of the student to make the ARS Coordinator aware of the need for accommodations in the classroom prior to the beginning of the semester. Students should follow up with their instructors once the semester begins. To make an appointment call the ARS front desk at (928) 344-7674 or ARS Coordinator at (928) 344-7629, in the College Community Center (3C) building, next to Advising.
- 6.4 Academic Integrity: Any student participating in acts of academic dishonesty—including, but not limited to, copying the work of other students, using unauthorized “crib notes”, plagiarism, stealing tests, or forging an instructor’s signature—will be subject to the procedures and consequences outlined in AWC’s Student Code of Conduct.
- 6.5 Texts and Notebooks: Students are required to obtain the class materials for the course.