

ARIZONA WESTERN COLLEGE  
SYLLABUS

FIN 140 FINANCIAL MARKETS AND INSTITUTIONS

Credit Hours: 3 Lec 3

PREREQUISITE: FIN 100

COURSE DESCRIPTION

Financial markets and institutions provide a practical introduction to prepare students for today's changing landscape of financial markets and institutions. Students will develop critical-thinking and problem-solving skills necessary to respond to challenging situations in their future careers within the financial industry.

1. COURSE GOALS

- 1.1 Describe the fundamentals of financial markets and institutions.
- 1.2 Explain the central banks role in monetary policy.
- 1.3 Evaluate the various financial markets.
- 1.4 Describe the role financial managers take on in financial institutions.
- 1.5 Discuss the importance of asset- liability management.

2. OUTCOMES

Upon satisfactory completion of this course, students will be able to:

- 2.1 evaluate the components that make up the financial system.
- 2.2 evaluate the cause and effect of interest rate changes.
- 2.3 describe what is meant by market efficiency.
- 2.4 delineate between the money, bond, stock, mortgage, and foreign exchange markets.
- 2.5 describe the purpose and goal of banks, insurance companies and pension funds.
- 2.6 evaluate why risk management is important in financial institutions.
- 2.7 describe the purpose and goal of investment banks, security brokers and dealers.
- 2.8 define hedging and financial derivatives.

3. METHODS OF INSTRUCTION

- 3.1 Lecture
- 3.2 Problem-solving technique demonstrations
- 3.3 Collaborative student discussions
- 3.4 Multi-media presentations

4. LEARNING ACTIVITIES

- 4.1 Weekly lecture on chapter objectives
- 4.2 Assigned reading and chapter problems
- 4.3 Class discussions
- 4.4 Participation in problem solving exercises
- 4.5 Group research project presentation

5. EVALUATION

- 5.1 Exams
- 5.2 Practice problems
- 5.3 Homework problems
- 5.4 Group research project evaluation

6. STUDENT RESPONSIBILITIES

- 6.1 Under AWC Policy, students are expected to attend every session of class in which they

are enrolled.

- 6.2 If a student is unable to attend the course or must drop the course for any reason, it will be the responsibility of the student to withdraw from the course. Students who are not attending as of the 45th day of the course may be withdrawn by the instructor. If the student does not withdraw from the course and fails to complete the requirements of the course, the student will receive a failing grade.
- 6.3 Americans with Disabilities Act Accommodations: Arizona Western College provides academic accommodations to students with disabilities through AccessABILITY Resource Services (ARS). ARS provides reasonable and appropriate accommodations to students who have documented disabilities. It is the responsibility of the student to make the ARS Coordinator aware of the need for accommodations in the classroom prior to the beginning of the semester. Students should follow up with their instructors once the semester begins. To make an appointment call the ARS front desk at (928) 344-7674 or ARS Coordinator at (928) 344-7629, in the College Community Center (3C) building, next to Advising.
- 6.4 Academic Integrity: Any student participating in acts of academic dishonesty—including, but not limited to, copying the work of other students, using unauthorized “crib notes”, plagiarism, stealing tests, or forging an instructor’s signature—will be subject to the procedures and consequences outlined in AWC’s Student Code of Conduct.
- 6.5 Texts and Notebooks: Students are required to obtain the class materials for the course.
- 6.6 Arizona Western College students are expected to attend every class session in which they are enrolled. To comply with Federal Financial Aid regulations (34 CFR 668.21), Arizona Western College (AWC) has established an Attendance Verification process for “No Show” reporting during the first 10 days of each semester. Students who have enrolled but have never attended class may be issued a “No Show” (NS) grade by the professor or instructor and receive a final grade of “NS” on their official academic record. An NS grade may result in a student losing their federal financial aid. For online classes, *student attendance in an online class is defined as the following* (FSA Handbook, 2012, 5-90):
- Submitting an academic assignment
  - Taking an exam, an interactive tutorial or computer-assisted instruction
  - Attending a study group that is assigned by the school
  - Participating in an online discussion about academic matters
  - Initiating contact with a faculty member to ask a question about the academic subject studied in the course